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(S) #

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
**John C. Harvey and James W. Cuddihy:**

Group Art Unit: 2737

Serial No.: 08/447,712

Examiner: FAILE, A.

Filed: May 23, 1995

Attorney Docket: 05634.0127

For: **SIGNAL PROCESSING APPARATUS  
AND METHODS**

**ATTN: APPLICATION PROCESSING DIVISION,  
SPECIAL PROCESSING & CORRESPONDENCE BRANCH**

Commissioner for Patents and Trademarks  
Washington, D.C. 20231

RECEIVED

JAN 10 2001

**NOTIFICATION OF CHANGE IN STATUS UNDER 37 C.F.R. § 1.28(B) AND  
PAYMENT OF FEE DEFICIENCIES UNDER 37 C.F.R. § 1.28(C)**

Sir:

Upon review of the claims as filed in the above-referenced application and the "field of use" clause in the application's assignee's license contract with a firm that is a large entity, it has come to the applicant's attention that the present application requires large entity status.

Pursuant to 37 C.F.R. § 1.28(b), Attorneys for Applicants hereby provide notification that Personalized Media Communications, L.L.C. ("PMC"), the assignee of the above referenced application Serial No. 08/447,712 does not qualify for small entity status under M.P.E.P. § 509.02 and 37 C.F.R. § 1.9 for paying reduced patent fees for the above referenced application and that the claim for small entity status for the above-referenced application Serial No. 08/447,712 is hereby withdrawn.

Enclosed herewith is assignee's check no. 3094 for the recalculated fees of \$465.00 to convert the above-referenced application from small to large entity status in accordance with 37 C.F.R. § 1.28(c). The following table identifies each of applicant's

01/10/2001 SLUANG1 00000016 08447712

01 FC:117  
02 FC:699

890.00 OP  
40.00 OP

Adjustment date: 01/11/2001  
01/10/2001 SLUANG1 00000016 08447712  
02 FC:699  
01/11/2001 LGIBBS 00000043 08447712  
40.00 OP  
01 FC:197

submissions to the Patent and Trademark Office ("PTO") necessitating the enclosed payment and the amount to bring the application in conformity to large entity status.

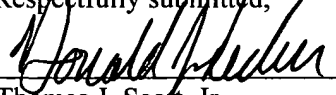
Description of Submission to PTO	Amount Deficient
New application filing:	\$-
Preliminary amendments:	
Response to first action new claim fees:	
Response to first action extension of time:	\$465.00
Response to second action new claim fees:	
Response to second action extension of time:	
Response to final action new claim fees:	
Response to final action extension of time:	
1.129(a) submission:	
<b>TOTAL</b>	<b>\$465.00</b>

Applicants respectfully request the records of the PTO be revised to indicate that the above-referenced application should now be considered the application of a large entity.

The Commissioner is hereby authorized to charge or credit the deposit account of **Hunton & Williams**, Deposit Account No. **50-0206**, for any insufficient funds or overpayments in connection with the filing of the instant papers.

Date: December 29, 2000  
**HUNTON & WILLIAMS**  
1900 K Street, N.W.  
12<sup>th</sup> Floor  
Washington, D.C. 20006

Respectfully submitted,



Thomas J. Scott, Jr.  
Reg. No. 27,836  
Donald J. Lecher  
Reg. No. 41,933  
Attorneys for Applicants  
Tel: (202) 955-1938

# Transaction List

Search by

☐ Accounting Date:  Operator ID:   
(MMDDYY)



☒ Name/Number:

Accounting Date  
Starts:  Ends:

☐ Attiny Docket No:

☐ Deposit Account No:

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Accounting Date	Operator ID	Seq. No.	Txn Src	Fee Code	St	Amount	Name/Number	Dep Acct
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09/28/1995	070DF	5801	CRDA	585	A	-55.00	08447712	
09/06/1995	240AH	30502	CRDA	585	A	55.00	08447712	
09/06/1995	240AH	30501	CRDA	215	A	55.00	08447712	
09/06/1995	240AH	30302	CRDA	105	A	130.00	08447712	
09/06/1995	240AH	30301	CRDA	201	A	365.00	08447712	
06/27/1995	200AA	29002	CRDA	101	A	365.00	08447712	
06/27/1995	200AA	29001	CRDA	201	A	-365.00	08447712	
06/08/1995	030AH	8601	CRDA	201	A	365.00	08447712	



Adjustment Detail

Authorization Detail



Adjustment Date: 01/10/2001 SLUAN61 Hm/Nb: 08447712  
11/06/1996 200TD 0000007701 FC:217 -465.00 0